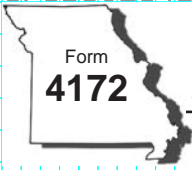


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Missouri Department of Revenue
Assignment of Certificate of Deposit

Department Use Only (MM/DD/YY) [] [] [] [] [] [] [] [] [] []

Missouri Tax I.D. Number (Optional) [] [] [] [] [] [] [] [] [] []

Federal Employer I.D. Number [] [] [] [] [] [] [] [] [] []

Tax Type	<input type="checkbox"/> Sales and Use Tax	<input type="checkbox"/> Cigarette Tax	<input type="checkbox"/> Motor Fuel Tax
	<input type="checkbox"/> Other Tobacco Products	<input type="checkbox"/> Transient Employer Withholding and Unemployment Tax	

Owner's Name, all Partners, Corporation, or LLC Name		E-mail Address	
Business Address	City	State	Zip Code
Taxpayer or Business Owner's Address	City	State	Zip Code

I, _____, being of lawful age, assign and transfer the Certificate of Deposit (CD) for _____ (\$ _____), Certificate of Deposit Number _____, issued _____, 20____, by _____, located at _____, as security to the Missouri Department of Revenue (Department) in lieu of a cash bond.

This CD shall secure the payment of the above indicated tax and related fees, interest, additions to tax, and penalties due the state of Missouri on or after the date this CD is issued.

I understand that at any time a delinquency occurs, the Department may redeem the CD assigned by this instrument and apply the proceeds to such delinquency. I agree that Administrative Rules and Revised Statutes of Missouri will govern my rights and responsibilities under this assignment. If I have not maintained a satisfactory tax compliance, and my CD is automatically renewable, the Department will allow the CD to renew. I understand that I will be notified when the Department elects to renew my CD.

Service of process shall be deemed sufficient and made in the state of Missouri if mailed by U.S. mail to the Financial Institution's address as set forth above. This agreement and any legal action pertaining thereto shall be governed by and construed in accordance with these terms and the laws of the state of Missouri. The parties understand and agree that the exclusive jurisdiction for any action concerning this CD shall be the state of Missouri and the only venue shall be in the Circuit Court of Cole County, Missouri. The undersigned bank understands and agrees that it shall be liable for prejudgment interest and attorney fees if it breaches its obligations under this CD.

I have read the foregoing and fully understand it and certify that I am the taxpayer subject to this assignment or I have the authority to execute this assignment on behalf of the Taxpayer.

Taxpayer of Record	Business Name	
	Owner, Officer, Partner, or Member Signature	Title

Financial Institution Acknowledgement	Select One:	
	<input type="checkbox"/> The paper Certificate of Deposit is attached.	
	<input type="checkbox"/> The Certificate of Deposit is paperless. A withdrawal slip, confirmation of withdrawal, or endorsement on the Certificate of Deposit is not required. In the event that taxpayer becomes delinquent, and the Department seeks the redemption of the Certificate of Deposit, a written request from the Department together with this Assignment is the only documentation necessary to release funds to the Department.	
	Bank	Phone Number
Bank Official's Name		By (Signature of Banking Official)
		Title



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Notary Public	Embossed or black ink rubber stamp seal	Subscribed and sworn before me, this _____	
		day of _____	year _____
		State _____	County (or City of St. Louis) _____
		My Commission Expires _____	
		Notary Public Signature _____	
	Notary Public Name (Typed or Printed) _____		

Authority to release the Certificate of Deposit is hereby granted this _____ day of _____ 20_____. Please mail any proceeds from the Certificate of Deposit to _____

Missouri Department of Revenue

By: _____

Title: _____

The Department will accept a Certificate of Deposit (CD) issued by a state or federally chartered financial institution in lieu of a Cash Bond subject to the provisions of Revised Statutes of the State of Missouri.

- Assignment of CD Requirements**
- Form 4172 must be fully completed by the financial institution.
 - It must be issued jointly in the name of the owner and the Missouri Department of Revenue.
 - The bank official's signature must be notarized.
 - Form 4172 must be signed by the sole owner, partner, corporate officer, or member.
 - Attach a completed signature card, if required by financial institution.
 - Send all completed required documents to the address on Form 4172.

- Certificate of Deposit Requirements**
- A paper CD must be:
 - Issued jointly in the name of the owner and the Missouri Department of Revenue;
 - A 24-month (2 year) CD; and
 - Endorsed in ink by the owner.
 - If the CD is a "Book Entry" CD, a signed withdrawal slip or a letter from the issuing financial institution indicating how the Department of Revenue may draw upon the CD must accompany this form. The sole owner, a partner, a corporate officer, or a member of a limited liability company must sign the withdrawal slip.
 - If the CD is paperless, check the appropriate box.
 - The interest derived from the CD must be compounded. If a delinquency occurs, the Department may redeem the CD. Any proceeds from the CD exceeding the delinquency, including interest proceeds, will be converted to a cash bond.
 - The Financial Institution must honor upon receipt all demands for payment and make payment to the Department within thirty (30) days of receipt of the demand.

Form 4172 (Revised 02-2015)

Mail to:

Sales and Use or Transient Employer Withholding Tax Taxation Division PO Box 357 Jefferson City, MO 65105-0357 Phone: (573) 751-5860 Fax: (573) 522-1722 E-mail: businessstaxregister@dor.mo.gov	Motor Fuel Tax Taxation Division PO Box 300 Jefferson City, MO 65105-0300 Phone: (573) 751-2611 Fax: (573) 522-1720 E-mail: excise@dor.mo.gov	Cigarette Tax Taxation Division PO Box 811 Jefferson City MO 65105-0811 Phone: (573) 751-7163 Fax: (573) 522-1720 E-mail: excise@dor.mo.gov	Other Tobacco Products Taxation Division PO Box 3320 Jefferson City MO 65105-3320 Phone: (573) 751-5772 Fax: (573) 522-1720 E-mail: excise@dor.mo.gov
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Visit <http://dor.mo.gov/business/register> for additional information.

